

# Multiplicity Disjunction Lemma

⌋

$N^n$  smooth compact

$P^r, \{Q_j^{q_j}\}_{j=1}^a$  disjoint, compact proper submanifolds of  $N$

and  $n-p \geq 3$   
 $n - q_j \geq 3 \quad \forall j$

then the map  $(R = \{1, \dots, a\}) \mapsto C(P, N \setminus Q_R), \quad Q = \bigcup_{j \in R} Q_j$

is  $\left[ (n-p-2) + \sum_{j=1}^a (n-q_j-2) \right]$ -Cartesian.

i.e.  $C(P, N \setminus \hat{\bigcup}_{j=1}^a Q_j) \rightarrow \text{holim}_{R \subseteq \{1, \dots, a\}} C(P, N \setminus \bigcup_{j \in R} Q_j)$  is  $(\dots)$ -connected.

$(a=n, \text{Mac}(A)) : C(P, N \setminus Q) \rightarrow C(P, N) \quad (2n-p-q-4)$ -connected.

(this is essentially equivalent to: given  $\{A_j\}_{j=1}^a$  disjoint, compact submanifolds of  $M$ , smooth connectivity of map  $(R \subseteq \{1, \dots, a\}) \mapsto C(M \setminus A_R, N)$ .)

Goodwillie's generalisation of Bousfield-Moussery:  $X$   $a$ -cube, and  $T$  subsets is  $K_T$ -loc cartesian

then  $X$  is  $K$ -connected where

$$K = \text{minimum, over all partitions } \{T_\alpha\} \text{ of } \{1, \dots, a\}, \text{ of } (1-a) + \sum K_{T_\alpha}$$

$$\left[ \begin{array}{ccc} X_{12} & \rightarrow & X_1 \\ \downarrow & & \downarrow \\ X_2 & \rightarrow & X_p \end{array} \right] \text{ is } \min(-1 + K_1 + K_2, -1 + K_{12}) \text{-connected}$$

Main task:  $a$ -cube  $S \mapsto C(P, N \setminus Q_S)$  is  $(n-p-3) + \sum_{j=1}^a (n-q_j-1)$  - loc cartesian, in

$$\textcircled{1} \quad \bigcup_{j=1}^a C(P, N \setminus Q_j) \rightarrow C(P, N) \text{ is } \uparrow \text{ connected}$$

• The  $T$ -subcubes  $(R \in T) \mapsto C(P, N \setminus Q_R)$  have similar form  $(N \rightsquigarrow N \setminus \bigcup_{K \notin T} Q_K)$  so this implies they are  $(n-p-3) + \sum_{j \in T} (n-q_j-1)$  - loc cartesian

$$\Rightarrow \text{cube } \mathbb{B} \quad (1-a) + \left[ (n-p-3) + \sum_{j=1}^a (n-q_j-1) \right] \text{ - cartesian, as required.}$$

$$\text{" } n-p-2 + \sum_{j=1}^a (n-q_j-2)$$

①  $(D^s, \partial D^s) \rightarrow (C(P, N), \bigcup_{j=1}^s C(P, N \setminus Q_j))$  may be homotoped to land in  $\bigcup_{j=1}^s C(P, N \setminus Q_j)$

in every fixed concordance

$$I \times P \times D^s \xrightarrow{F = (h, f, p_3)} I \times N \times D^s$$

such that  $\forall y \in \partial D^s$

$$(187) \quad \exists j \in \{1, \dots, s\} \text{ st } F(I \times P \times y) \cap (I \times Q_j \times D^s) = \emptyset.$$

WTS =  $F$  is isotopic to one for which (187) holds  $\forall y \in \partial D^s$ .

ie that for isotoped  $F$ ,  $\exists$  open cover  $\{\theta_j\}_{j=1}^s$  of  $D^s$  such that

$$f(I \times P \times \overline{\theta_j}) \cap Q_j = \emptyset \quad \forall j.$$

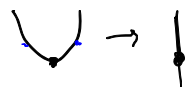
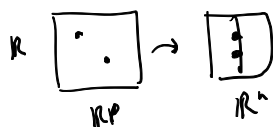
Recall: On "stratify"  $I \times \text{int } P \times D^s$  according to behaviour of  $F$ .

$Z_0, Z_1, \dots$

$Z_\alpha \subseteq \int_{I(Z_\alpha)}^\infty (\mathbb{R} \times \mathbb{R}^p, \mathbb{R}^n)$  multi-jet space

then an invariant under reparametrizations is defined

$$s(Z_\alpha, P, N) \in \int^\infty (\mathbb{R} \times P, N)$$



"double words"



$F: I \times P \times D^s \rightarrow I \times N \times D^s$  fibred concordance can be put in general position w.r.t  $Z'$

is up to fibred isotopy, for each  $Z_\alpha$  of rank  $r$  and level  $m$

$$(I \times \text{int}(P))^{(s)} \times D^s \xrightarrow{j^m(F)} j^m(I \times P, N) \subseteq j^m(\mathbb{R} \times P, N)$$

is  $\cap$  to submanifold  $p_m^\infty(S^*(Z_\alpha, P, N)) = p_m^\infty(S(Z_\alpha, P, N) \setminus S(A(Z_\alpha), P, N))$  ← singular set

Def<sup>m</sup>  $S_\alpha^*(F) = \cap \text{preimage} \subseteq (I \times \text{int}(P))^{(s)} \times D^s$

Similarly,  $S_\alpha(F) = \text{preimage of } S(Z_\alpha, P, N) \subseteq (I \times \text{int}(P))^{(s)} \times D^s$ .

The  $Z_\alpha$ 's are constructed from  $Z_0$  by operations:  $A, B, C, D$

These operations typically increase codimension (only  $B$  can preserve it)

$$c(Z_\alpha) := \text{codim} \left( p_m^\infty(Z_\alpha), j^m(\mathbb{R} \times \mathbb{R}^p, \mathbb{R}^n) \right) - r(p+1).$$

Lemma: (Assuming  $n-p \geq 3$ )  $\forall s \geq 0 \exists$  finitely many  $Z$  such that  $c(Z) \leq s$ .

$\leadsto$  can order  $Z_0, Z_1, Z_2, \dots$  so that  $\alpha \leq \beta \Rightarrow c(Z_\alpha) \leq c(Z_\beta)$ .

Def<sup>n</sup>  $Y_\alpha = \bigcup_{\beta \geq \alpha} \bigcup_{j \in I(\mathbb{Z}_\beta)} \pi_j S_\beta(F) \subseteq \mathbb{I} \times \text{int}(P) \times D^S.$

where, for  $j \in \{1, \dots, r\}$ ,  $\pi_j : S_\alpha(F) \rightarrow \mathbb{I} \times \text{int}(P) \times D^S$  is projection of  $j^{\text{th}}$  part.

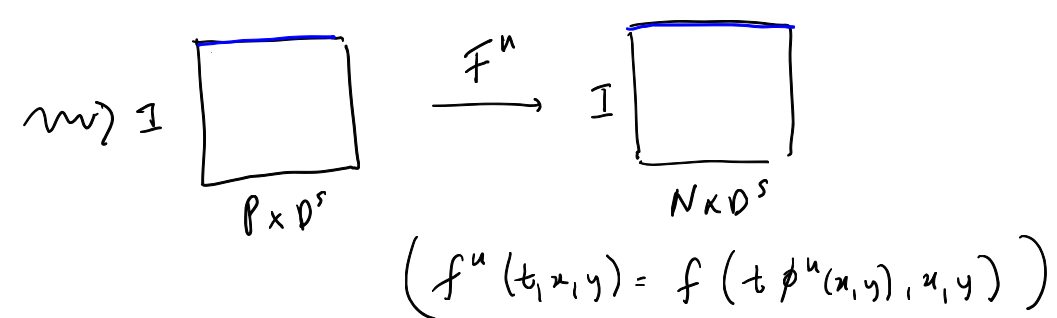
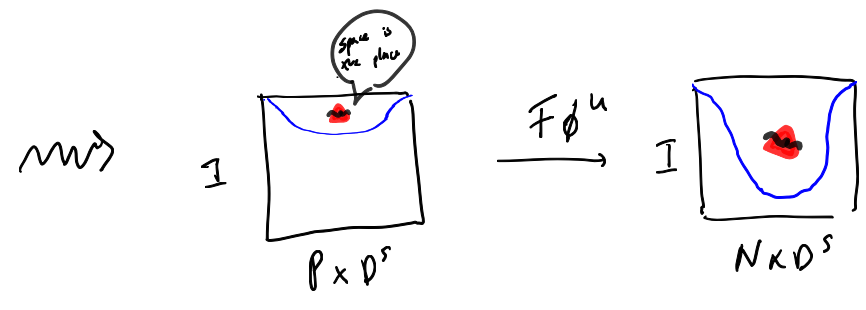
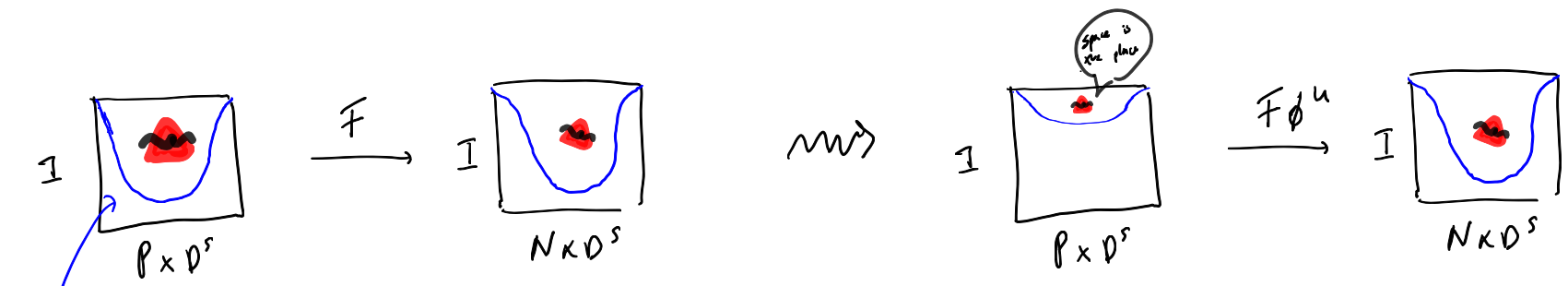
The overall strategy is to do a downward induction on the statement

$$(189)_\alpha \quad \exists \text{ a cover } \{Q_j\}_{j \in I} \text{ of } D^S \text{ such that } \forall j \in \{1, \dots, r\} \text{ and up to integers of } F \\ f(\mathbb{I} \times (P \times \bar{\Theta}_j \cap P_{2,3} Y_\alpha)) \cap Q_j = \emptyset.$$

So three steps:

- ①  $(189)_{\alpha_0}$  for some  $\alpha_0$  "largest kind" — general position
- ②  $(189)_{\alpha+1} \Rightarrow (189)_\alpha$  for  $\alpha < \alpha_0$  — sunny collapse
- ③  $(189)_0 \Rightarrow \forall j f(\mathbb{I} \times P \times \bar{\Theta}_j) \cap Q_j = \emptyset$ . — sunny collapse.

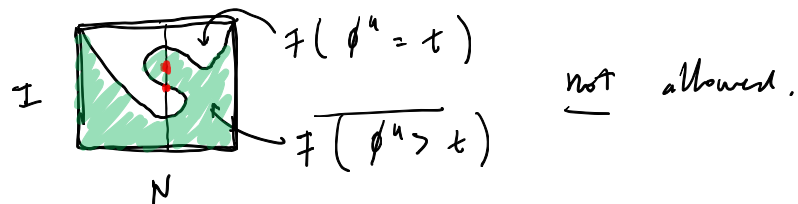
③ "smooth collapse"  $\phi^u: P \times D^S \rightarrow [0,1]$ ,  $u \in [0,1]$



Conditions are:

(34)  $\phi^u(x, y) = 1$  if  $u=0$  or  $u \in \partial P$

(40)  $0 \leq t \leq \phi^u(x, y) \Rightarrow F(\phi^u(x', y), u', y)$  is not below  $F(t, x, y) \quad \forall (u, t, x, u', y) \in I \times I \times P \times D^S$



$\approx$  points above me in the sun.



symmetrically,  $\phi^u = 1 - u(1 - \phi)$  for  $\phi: P \times D^S \rightarrow [0,1]$  satisfying analogous conditions

$\gamma$  Let  $X = \bigcup_{j=1}^n Y_j \cup \partial P \times D^s \subseteq P \times D^s$ .  $f(I \times P \times \bar{\Theta}_j \cap I \times X) \cap Q_j = \emptyset$  (129)<sub>0</sub>  $\Rightarrow \checkmark$   
 $\mathcal{F}$  satisfies  $f^{-1}(Q_j) \cap (I \times P \times \bar{\Theta}_j \cap I \times X) = \emptyset \quad \forall j$  \*

WTS:  $Y := \bigcup_{j=1}^n (f^{-1}(Q_j) \cap I \times P \times \bar{\Theta}_j) = \emptyset$ , up to int. of  $\mathcal{F}$ .  
 $I \times P \times D^s$

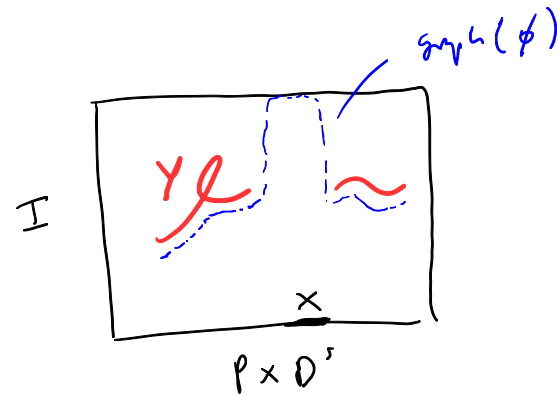
$(t, x, y) \in Y \Rightarrow (x, y) \notin X$  and  $(t, x, y) \in Y \Rightarrow t > 0$  U

and  $X, Y$  are compact  $\Rightarrow \exists$  smooth function

$$P \times D^s \xrightarrow{\phi} [0, 1]$$

with  $\phi(x, y) < t$  for  $(t, x, y) \in Y$

$\phi(x, y) = 1$  for  $(x, y) \in X$



$\Rightarrow \phi^u = 1 - u(1 - \phi)$  sunny collapse

Let  $\mathcal{F}^u$  be the associated isotopy.

for  $y \in \bar{\Theta}_j$ ,  $f^{-1}(Q_j) \cap Q_j \Rightarrow f(t\phi(x, y), x, y) \in Q_j$

$\Rightarrow (t\phi(x, y), x, y) \in Y$

$\Rightarrow \phi(x, y) < t \wedge \phi(x, y) < \phi(x, y) \wedge$  /

eg. condition (no) follows from:

$$\left[ \begin{array}{l} \text{if } \mathcal{F}(t, x, y) \text{ is below } \mathcal{F}(t', x', y) \\ \text{then either } \left\{ \begin{array}{l} \phi(x, y) > t \text{ or} \\ (1-t)(1-\phi(x, y)) > (1-t') \end{array} \right. \end{array} \right.$$

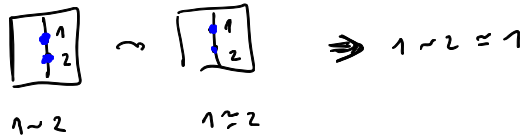
holds since  $(t, x, t', x', y) \in S_0(\mathcal{F})$  or

either way  $(x, y) \in X \Rightarrow \phi(x, y)$

But  $t < 1 \Rightarrow \phi(x, y) > t$ .

some some of these are called "bad" and some "good"

$$\text{by } \left\{ (z_1, z_2) \in \mathbb{P}^2 \mid \begin{array}{l} p_2 s z_1 = p_1 s z_2 \\ t z_1 = t z_2 \end{array} \right\}$$



admissible pair of relations on  $\{1, \dots, r\}$ ,  $r = I(z)$

$$i \sim j \Leftrightarrow (i D_j \text{ or } i=j \text{ or } j D_i)$$

$$i \approx j \Leftrightarrow (i R_j \text{ or } i=j \text{ or } j R_i)$$

$Z$  good  $\Rightarrow \exists i_0$  which is maximal w.r.t  $D$  within its  $\sim$  class  
 $k$  w.r.t  $R$  within its  $\approx$  class

$$c(\text{bad } Z) \geq n - p - 2$$

$\alpha_0$  is largest bad one - smaller codim.

$$\text{define } V_j = \left\{ y \in \mathbb{P}^5 \mid \forall \alpha \geq \alpha_0, x \in S_\alpha(F), t \in I, i \in I(z_\alpha), p_3(x) = y \Rightarrow F(t, p_2 \pi_i x, y) \notin I \times Q_j \times \mathbb{P}^5 \right\}$$

parameters for which (189) $_{\alpha_0}$  holds.

Claim: For a generic  $F$ ,  $\{V_j\}$  form an open cover of  $\mathbb{P}^5$ .

(w to replacing  $V_j$  by slightly smaller  $\bar{V}_j, \bar{\sigma}_j \subseteq$

Suppose  $\forall j \exists \alpha_j \geq \alpha_0, x_j \in S_{\alpha_j}(F), t_j \in I, i_j \in I(z_{\alpha_j})$  such that  $p_3(x) = y$  but

$$F(t, p_2 \pi_{i_j} x_j, y) \in I \times Q_j \times \mathbb{P}^5.$$



Choose among these pairs

$$(\alpha^1, x^1), \dots, (\alpha^b, x^b)$$

so as to minimize # distinct  $(\alpha_j, x_j)$ . (we  $\psi: \{1, \dots, a\} \rightarrow \{1, \dots, b\}$  surjection  
 st  $(\alpha_j, x_j) = (\alpha^{\psi(j)}, x^{\psi(j)})$  for each

define  $x := (p_{12} x^1, \dots, p_{12} x^b, y) \in (\mathbb{I} \times \mathbb{P})^{(r_1)} \times \dots \times (\mathbb{I} \times \mathbb{P})^{(r_b)} \times \mathbb{D}^s \stackrel{\text{minimality}}{\subseteq} (\mathbb{I} \times \mathbb{P})^{(r)} \times \mathbb{D}^s$

in fact,  $x \in \bigcup_j (f_j)^{-1}(S(z, P, N)) \cap (\mathbb{I} \times \text{int}(\mathbb{P}))^{(r)} \times \mathbb{D}^s$

for the following IASPM  $Z$  (not in our collection)

$$Z = \left\{ (z_1, \dots, z_c) \in \mathbb{J}^\infty \mid (z_1, \dots, z_{r_1}) \in Z_{\alpha^1}, (z_{r_1+1}, \dots, z_{r_1+r_2}) \in Z_{\alpha^2}, \dots \right\}$$

then  $c(z) = \sum_{k=1}^b c(z_{\alpha^k})$  and  $F(t_j, p_{12} \pi_{i_j} x, y) \in \mathbb{I} \times \mathbb{Q}_j \times \mathbb{D}^s$   $\left( i_j := i_j + \sum_{k=1}^{r(j)-1} r_k, 1 \leq j \leq a \right)$

implies for  $F$  in general position, this cannot happen:

$Z, A(z), A^2(z), \dots$   $\Sigma :=$  transversal preimage of  $S^*(A^h(z), P, N) \subseteq (\mathbb{I} \times \text{int}(\mathbb{P}))^{(r)} \times \mathbb{D}^s$   
 (h30)

$$\dim \Sigma = s - c(A^h(z)) \leq s - c(z) = s - \sum_{k=1}^b c(z_{\alpha^k}) \leq s - (n-p-2) < \sum_{j=1}^a (n - q_j - 1)$$

$\alpha^k \geq \alpha_0 \Rightarrow c(z^k) \geq n-p-2$       assumption.

$$\therefore I^a \times \Sigma \longrightarrow N^a$$

$$(s_1, \dots, s_a, x) \longmapsto (f(s_1, p_2 \bar{v}_1^1 x, p_2 x), \dots)$$

generically has disjoint image from  $Q_1 \times \dots \times Q_a$  since

$$\dim(I^a \times \Sigma) < \sum_{j=1}^a n - q_j = \text{codim}(Q_1 \times \dots \times Q_a, N^a)$$

if  $\bullet \Rightarrow x \in \Sigma$  for some large enough  $h$  (since eventually  $A^h(z) = \emptyset$ )

but  $\bullet \bullet \Rightarrow T(t_1, \dots, t_a, x) \in Q_1 \times \dots \times Q_a \quad \downarrow$

$$) (189)_{\alpha+n} \Rightarrow (189)_{\alpha}, 0 \leq \alpha \leq \alpha_0$$

Let  $F : I \times P \times D^S \rightarrow I \times N \times D^S$  satisfy  $(189)_{\alpha+n}$  (and conditions on  $D^S$ )

From last time,

$$W_{\alpha}(F) := \left\{ x \in S_{\alpha}^{(I \times \text{int}(P)) \times D^S}(F) \mid \forall \beta > \alpha, i \in I(Z_{\alpha}), j \in I(Z_{\beta}), \pi_i(x) \notin \pi_j S_{\beta}(F) \right\}$$

Refine this by introducing, for an admissible pair  $(D, R)$  of relations on  $I(Z_{\alpha})$ ,

$$W_{\alpha}^{(D, R)}(F) = \left\{ x \in W_{\alpha}(F) \mid \forall i, j \in I(Z_{\alpha}), \begin{array}{l} i D_j \Leftrightarrow \pi_i x \text{ is below } \pi_j x \text{ in } I \times P \times D^S \\ i R_j \Leftrightarrow F \pi_i x \text{ is below } F \pi_j x \text{ in } I \times N \times D^S \end{array} \right.$$

(choice of "ordering of points".)

Lemma  $W_{\alpha}(F) = \bigsqcup_{(D, R)} W_{\alpha}^{(D, R)}(F)$  and if  $Z_{\alpha}$  is good, then for each  $i \in I(Z_{\alpha})$

$$P_{Z_{\alpha}} \pi_i \circ W_{\alpha}^{(D, R)}(F) \longrightarrow P \times D^S \text{ is an embedding}$$

and more  $\Rightarrow$  a closed subset of  $(\text{int } P) \times D^S \setminus \bigcup_{\beta} Y_{\alpha+n}$ .

For each admissible pair of orderings  $(D, R)$  for  $Z_d$  (rank  $r$ ), set

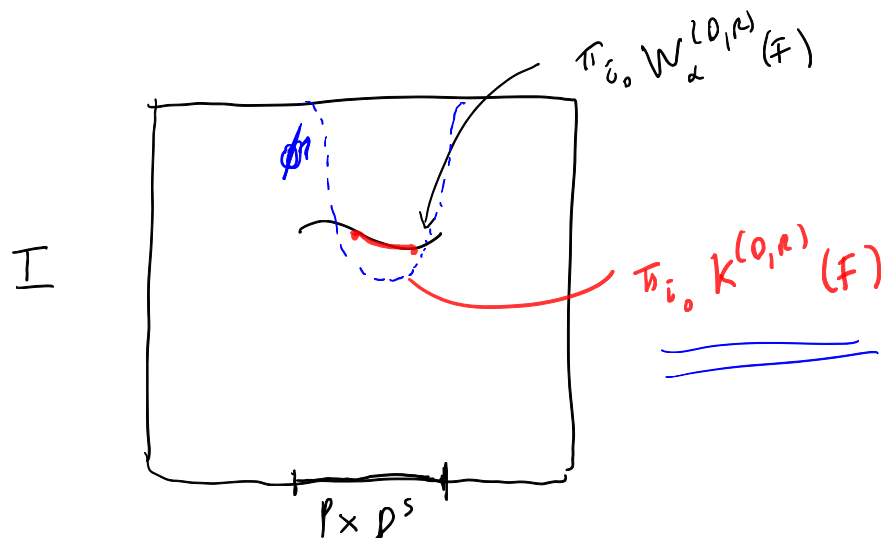
$$K^{(D, R)}(F) := \bigcup_{j=1}^a \bigcup_{i=1}^r \left\{ x \in W_\alpha^{(D, R)}(F) \mid \begin{array}{l} p_3 \bar{\eta}_i x \in \bar{\theta}_j \\ \text{for some } t \in I, f(t, p_{2,3} \pi_i x) \in Q_j \end{array} \right\}$$

if all  $K^{(D, R)}(F) = \emptyset \Rightarrow (189)_d$  holds.

Claim 113 For each  $(D, R)$ , there is an  $F'$  isotopic to  $F$  such that

$$K^{(D, R)}(F') = \emptyset$$

and if  $K^{(D', R')}(F) = \emptyset$  for some  $(D', R') \Rightarrow K^{(D', R')}(F') = \emptyset$ .



$i_0$  maximal  
(exists by  
goodness)



to prove claim 116, do another downward induction on the statement: for  $0 \leq \beta \leq \alpha$

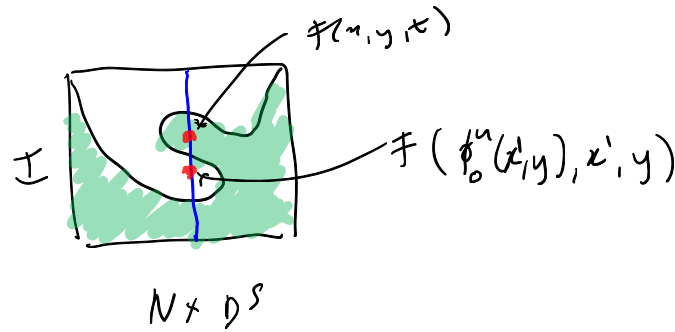
(206) <sub>$\beta$</sub>   $\exists$  smooth homotopy  $P \times D^S \xrightarrow{\phi_\beta^u} [0,1]$  satisfying condition of claim 116

and which is a sunny collapse "rel  $\beta$ ", it satisfies sunny conditions (40), (41) for  $P_{2,3} \gamma_\beta$

(206)<sub>0</sub>  $\Rightarrow$  Claim 116

is sunny on  $P_{2,3} \gamma_0 \Rightarrow$  sunny on  $P \times D^S$ .

Suppose (40) fails,



and so  $((t, x), (\phi_u(x', y), x'), y)$

$$\cap \bigcup_{z \in Z} (F)^{-n} S(z, P, N)$$



$(x', y) \in P_{2,3} \gamma_0 \quad \& \quad \subseteq$

$(\text{or } x' \in \partial P \Rightarrow \phi_0^u(x', y) = 1 \quad \& \quad \subseteq)$

Then  $\left[ \begin{array}{l} \textcircled{A} \text{ verify } (206)_\alpha \text{ (remember, the assumption is that } \mathbb{F} \text{ satisfies } (189)_{\alpha+n} \text{ for } 0 \leq \alpha \leq \alpha_0) \\ \textcircled{B} \text{ show } (206)_{\beta+n} \rightarrow (206)_\beta. \end{array} \right.$

$\textcircled{A}$  use a partition of unity to construct

$$\phi: P \times D^5 \rightarrow (0,1]$$

satisfying some conditions for  $P_{2,3} Y_0$  and "above"  $K^{(D,R)}(\mathbb{F})$ .

( $i_0$  maximal, suppose  $\pi_{i_0, \alpha}$  not critical  $\rho^*$ )

Let  $X_i^{(D,R)}$  for the image of  $P_{2,3} \pi_i: W_\alpha^{(D,R)} \hookrightarrow P \times D^5$ .

$$X_i^{(D,R)} \subseteq (\text{int } P) \times D^5 \setminus P_{2,3} Y_{\alpha+n} \quad \underline{\text{closed.}}$$

$$\underline{\text{and}} \quad X_{i_0}^{(D,R)} \cap X_i^{(D,R)} = \emptyset \text{ unless they are equal.}$$

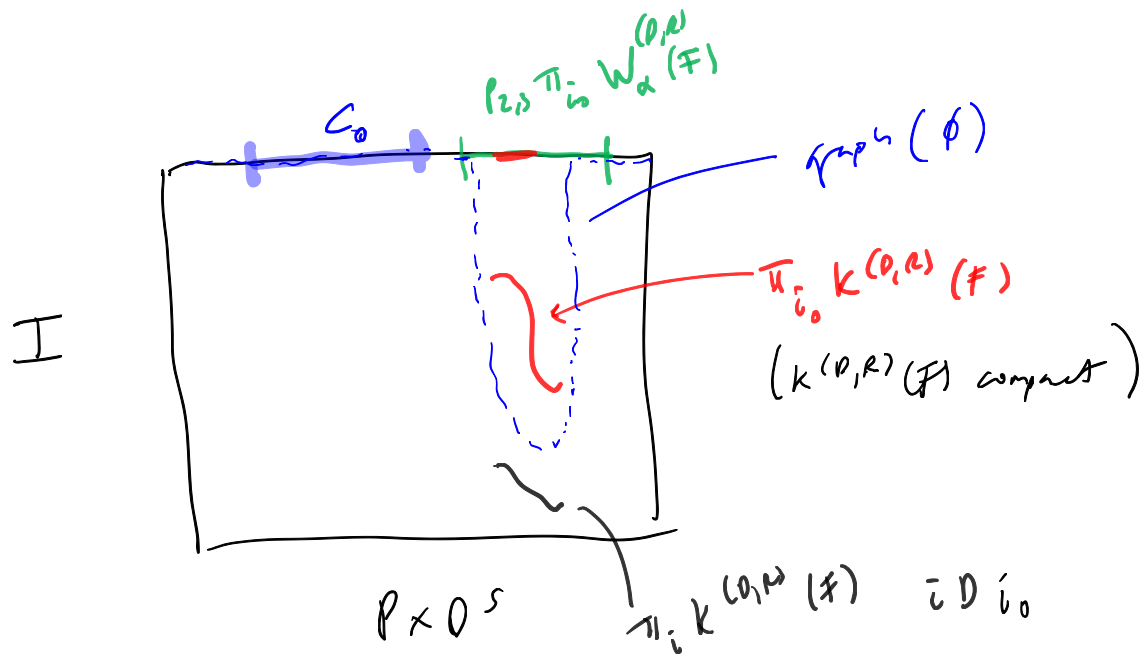
$$\underline{\text{Set}} \quad C_0 = \partial P \times D^5 \cup P_{2,3} Y_{\alpha+n} \cup \bigcup_{\substack{X_i^{(D,R)} \\ \neq X_{i_0}^{(D,R)}}} X_i^{(D,R)} \subseteq P \times D^5 \text{ closed.}$$

P. 1.  $\phi: P \times O^s \rightarrow (0, 1]$  satisfying

(i)  $\phi \equiv 1$  near  $C_0$

(ii)  $\phi(p_{2,3} \pi_{i_0} x) > p_1 \pi_{i_0} x \quad \forall x \in W^{(0,R)}(\mathbb{F})$  with  $\bar{i} \in D_{i_0}$

(iii)  $\phi(p_{2,3} \pi_{i_0} x) < p_1 \pi_{i_0} x \quad \forall x \in K^{(0,R)}(\mathbb{F})$



(ii)  $p_1 \pi_{i_0} x$  is in the shade of  $\phi(p_{2,3} \pi_{i_0} x)$ ,  $\bar{i} \in D_{i_0}$

(iii)  $\pi_{i_0} K^{(0,R)}(\mathbb{F})$  is in the sun.

below by maximality of  $\bar{i}_0$ .

(if  $\bar{i}_0$  a critical point need to be more careful...)

(B) I'm just skimming through but the arguments are similar