

Recall our recursive multiply algorithm:

PRECONDITION:

x and y are both binary bit arrays of length n, n a power of 2.

POSTCONDITION:

Returns a binary bit array equal to the product of x and y.

REC_MULTIPLY_2(x, y):

if (len(x) == 1):

return (x[0]*y[0])

x1 = x[n/2:n]

xh = x[0:n/2]

y1 = x[n/2:n]

yh = x[0:n/2]

p1 = **REC_MULTIPLY_2**(xh, yh)

p2 = **REC_MULTIPLY_2**(xh+x1, yh+y1)

p3 = **REC_MULTIPLY_2**(x1, y1)

p2 = **BINARY_ADD**(p2, -p1, -p3)

p2 = **SHIFT**(p2, n/2)

p1 = **SHIFT**(p1, n)

return **BINARY_ADD**(p1, p2, p3)

Let's prove that `REC_MULTIPLY_2 (x, y)` does indeed return the *product* of x and y .

Proof by complete induction.

1. **Define** $P(n)$:

RTP: $P(n)$ is true for all even $n \in \mathbb{N}$ and $n = 1$.

2. **Base Case:**

3. **Inductive Hypothesis:**

4. **Inductive Step:**

Another Recursive Algorithm–Quicksort

PRECONDITION:

A is an array/list of integers.

POSTCONDITION:

Returns the integers of A sorted in increasing order.

```
def QUICKSORT(A) :  
    if (len(A)==1 or len(A)==0) :  
        return (A)  
    else:  
        # make A[0] the pivot  
        L, M, U = [], [], []  
        for value in A:  
            if (A[0] < value):  
                L.append(value)  
            elif (A[0] == value):  
                M.append(value)  
            else:  
                U.append(value)  
        final = QUICKSORT(L)  
        final.append(M)  
        final.append(QUICKSORT(U) )  
        return(final)  
end Quicksort
```

Q: Which type of *induction* should we use to prove that **Quicksort** is correct?

Correctness of Quicksort

Proof. By *complete induction* on $n = \text{len}(A)$.

1. **Define** $P(n)$:

2. **IH:**

3. **Case 1.** $n = 0$ or $n = 1$

4. **Case 2.** $n \geq 2$



Correctness of Iterative Algorithms

Q: What is an *iterative algorithm*?

Typical Iterative Algorithm Structure

Precondition: Requirements about the input.

Postcondition: Requirements about the output.

variable declarations

various statements

begin loop

more statements

:

exit statement

more statements

end loop

more statements

Q: Which part of the algorithm is the hardest to prove *correct*?

To prove an algorithm is correct, we need to

- prove that the *looping* portion has a *well defined behavior*
- and that this *behavior* ensures that the *postcondition* is *met*.

The *well defined behavior* is called a *loop invariant*.

Q: How do we express a *loop invariant*?

Q: How does the *postcondition* relate to $P(n)$?

Q: Does this remind you of something else we have seen?

Keys to Proving an Iterative Algorithm Correct

We will use a *3-step* process.

1. **Partial Correctness**
2. **Proof of Termination**
3. **Total Correctness**

A Toy Example

PRECONDITION: Input is a natural number x .

POSTCONDITION: Output is 2^x .

```
def POWER( x ) :  
    current = 1  
    count = 0  
    while ( count < x ) :  
        current = current*2  
        count = count+1  
    return(current)
```

Q: What is the *exit condition*?

Q: What is the *invariant*? ie., what is true *each iteration* of the *loop*?

Let's look at a few iterations of the loop to find a pattern:

n	P(n)	
0	current =	count =
1	current =	count =
2	current =	count =
3	current =	count =
4	current =	count =
⋮		
i	current =	count =

Notation:

We will represent the *value* of a variable x during the k^{th} iteration of the loop by x_k .

What is the *loop invariant* $P(k)$?

$P(k)$:

Q: How is this related to the *postcondition*?

Partial Correctness: Prove $P(k)$ true.

Proof.

Base Case:

Inductive Hypothesis

Inductive Step

If there is not an $(i + 1)^{st}$ iteration then $P(i + 1)$ is trivially true.
why??.

Otherwise, there exists an $(i + 1)^{st}$ iteration:

$$\begin{array}{lcl} current_{i+1} & = & count_{i+1} \\ & = & \\ & = & \end{array}$$

Therefore $P(i)$ holds for all $i \in \mathbb{N}$.

Showing Termination

Theorem 2.5 (in the notes) Every *decreasing sequence of natural numbers* is *finite*.

Q: How does Theorem 2.5 follow from the *Well Ordering Principle*?

- Consider defining $d_i = x - \text{count}_i$.
- What do we know about d_i versus d_{i+1} :
- How does the *exit condition* relate to d_i ?
- How do we know that the *loop* must *terminate*?

Q: Given that the *loop invariant* holds and that the *loop terminates*, is the *post condition* met when the *exit condition* is *true*?

□

To show *termination* define a *decreasing sequence* of *natural numbers* and use the *W.O.P.*

Multiplication – Take 2

PRECONDITION: $m \in \mathbb{N}, n \in \mathbb{Z}$.

POSTCONDITION: Returns the value $m \cdot n$.

```
MULTIPLY( m, n )
1.   int x = m;
2.   int y = n;
3.   int z = 0;
4.   while (x  $\neq$  0)
5.       if (x mod 2 = 1)
6.           z = z+y;
7.       x = x div 2;
8.       y = y  $\cdot$  2;
9.   return z;
```

Q: Why does **MULTIPLY**(n, m) work?

Consider:

- $x \cdot y = y + y + y + \dots + y$ (x times)
- If x is even then $x \cdot y = 2(y + y + y + \dots + y)$ ($x/2$ times)
- If x is odd then $x \cdot y = \dots$
- Once $x = 0$, $xy =$

Q: Why might we want to use such an algorithm to multiply?

For *correctness*, we need to prove *three* things:

- 1.
- 2.
3. .

Partial Correctness

Q: Which variables would we *expect* to partake in the *loop invariant*?

Loop Invariant: $P(i)$: “If the i^{th} iteration exists then

$$mn = z_i + x_i y_i$$

Q: Why do we *believe* this loop invariant?

Claim: $P(i)$ is true for all $i \in \mathbb{N}$.

Proof.

1. **Base Case:**
2. **Induction Hypothesis:** Assume that $P(i)$ is true for *arbitrary* $i \in \mathbb{N}$.

3 **Induction Step: RTP:** $P(i + 1)$ is true.

Assume that the $(i + 1)^{st}$ iteration exists:

- Since $P(i)$ is true:
- If $x_i \bmod 2 \neq 1$ then:

$$z_{i+1} =$$

$$x_{i+1} =$$

$$y_{i+1} =$$

Therefore, $z_{i+1} =$

- If $x_i \bmod 2 = 1$ then:

$$z_{i+1} =$$

$$x_{i+1} =$$

$$y_{i+1} =$$

Therefore,

$$z_{i+1} =$$

$$=$$

$$=$$

Therefore, $P(i)$ holds for all $i \in \mathbb{N}$. \square

Termination

Q: How can we show that the loop *terminates*?

Q: What is such a *sequence*?

Claim: The loop will terminate.

Proof.