### **Predicate Calculus**

#### **Review**

- A *predicate* is a *boolean function*., eg. E(x): x is even. PR(x,y): course x is a prerequisite for course y.
- A predicate P of arity n maps from the same domain of discourse D, formally

$$D \times D \times \ldots \times D \rightarrow \{0,1\}$$

- Combine *predicates* using the *connectives* from *propositional logic*:  $\{\land, \lor, \neg, \rightarrow, \leftrightarrow\}$ .
- Two quantifiers:
  - 1. UNIVERSAL: ( $\forall$ ) If  $D = \{x_1, x_2, ..., \}$  then  $\forall x, E(x)$  is true if  $E(x_1) \land E(x_2) \land ...$  is true.
  - 2. EXISTENTIAL: ( $\exists$ ) If  $D = \{x_1, x_2, ..., \}$  then  $\exists x, E(x)$  is true if  $E(x_1) \lor E(x_2) \lor ...$  is true.

## **Example:**

Let  $D = \mathbb{N}$  and E(x) : x is even.

Is  $\forall x, E(x)$  true?

How about  $\exists x, E(x)$ ?

Let

- $D = \{ \text{The set of CS courses at U of T} \}.$
- PR(x,y): course x is a *prerequisite* for course y.

**Q:** Is  $\forall x, \exists y, PR(x, y)$  true?

**Q:** Is  $\exists x, \forall y, PR(x, y)$  true?

**Q:** Do  $\exists x, \forall y, PR(x, y)$  and  $\forall y, \exists x, PR(x, y)$  mean the same thing? I.e., are they *logically equivalent*?

# **First-Order Language**

We can define the set of *predicate formulas* called a FIRST-ORDER LANGUAGE  $\mathcal{L}$  using *structural induction*.

### **Terminology**

- **Terms of**  $\mathcal{L}$ : A *term* is a *constant* or *variable* in  $\mathcal{L}$ .
- Atomic formula of  $\mathcal{L}$ : An *atomic* formula is a predicate  $P(t_1, t_2, ..., t_k)$  of *arity* k where each  $t_i$  is a *term in*  $\mathcal{L}$ .

The set of *First-Order Formulas* of  $\mathcal{L}$  is the smallest set such that:

**Basis**: Any atomic formula in  $\mathcal{L}$  is in the set.

**Induction Step**: If  $\mathcal{F}_1$  and  $\mathcal{F}_2$  are in the *set*, x is a *variable* in  $\mathcal{L}$ , then each of  $F_1 \vee F_2$ ,  $F_1 \wedge F_2$ ,  $F_1 \to F_2$ ,  $\neg F_1$ ,  $\forall x F_1$ ,  $\exists x F_1$  are in the *set*.

## **Example:** The *language of arithmetic,* $\mathcal{LA}$ .

- An infinite set of *variables*,  $\{x, y, z, u, v, \ldots\}$
- *Constant* symbols {0, 1}
- Predicates:
  - $\circ L(x,y)$ : x < y,
  - $\circ S(x, y, z) : x + y = z,$
  - $\circ P(x, y, z) : x \cdot y = z,$
  - $\circ E(x,y)$ : x=y.

**Q:** Let our *domain* be  $\mathbb{N}$ . What does

$$\forall x (\exists y S(y, y, x) \lor \exists y \exists z (S(y, y, z) \land S(z, 1, x)))$$

represent?

**Q:** Do the two y's on either side of the  $\vee$  *represent* the *same* thing?

# The Scope of Quantifiers

- A variable is *free* if it is *not* referred to by any *quantifier*.
- A variable is *bound* if it *is* referred to by a *quantifier*.
- A sentence is a formula that has no free variables.

**Q:** In the following predicate formula, which *variables* are *free* and which are *bound*?

$$\forall x[\exists y(R(x,y) \land T(z,x)) \rightarrow \forall z(N(z,x,y))]$$

free bound

Q: What problem may occur if the *same symbol* is used to represent *more than one variable* in a formula?

Q: Soln?

# **Evaluating Predicate Formulas**

First suppose that there are *no free variables*.

Determining *satisfiability* or *unsatisfiability* of such a *predicate formula* is a *3-step* process:

- 1.
- 2.
- 3.

This defines a *structure* S.

# **Interpretations and Truth**

**Examples:** Give a *structure*  $S_1$  that *satisfies* the following predicate and another *structure*  $S_2$  that *falsifies* the following *predicate formula*:

- 1.  $\forall x L(0,x)$ 
  - (a)
  - (b)
- 2.  $\forall x \forall y \neg A(x, y, x)$ 
  - (a)
  - (b)

If there exists a *free variable* then we need to define a *valuation* of S.

### **Valuations**

• A *valuation* of S is a *function*  $\sigma$  that *maps* each variable in  $\mathcal{L}$  to an *element* of the domain  $\mathcal{D}$ .

e.g., If S is defined as:

- Domain: {Simpsons Characters},
- predicate P(x,y): x is a parent of y,
- $-\sigma(x,y,z) = (Homer, Bart, Lisa)$

then P(x, y) expresses Homer is a parent of Bart.

• Given  $\sigma$ ,

 $\sigma_a^x$  means that  $\sigma_a^x$  is *identical* to  $\sigma$  with the exception that x is mapped to a.

e.g., If S is as above, then  $\sigma^x_{Marge} = (Marge, Bart, Lisa)$ .

- Together, a structure S and a valuation  $\sigma$  for a language  $\mathcal{L}$ , define an interpretation denoted  $\mathcal{I} = (S, \sigma)$ .
- We say  $\mathcal{I}$  satisfies a formula F if F is **true** in interpretation  $\mathcal{I}$ .
- Similary,  $\mathcal{I}$  falsifies F if F is **false** in interpretation  $\mathcal{I}$ .

# **Logical Equivalences for Predicates**

We can define *valid*, *satisfiable* and *unsatisfiable* in the same manner as with propositional logic.

Let F be a formula of a first order language L. We say F is:

- 1.  $\mathit{valid}$  or a  $\mathit{tautology}$  if it is satisfied by  $\mathit{every}$   $\mathit{interpretation}$  of L
- 2. satisfiable if some interpretation of L satisfies it.
- 3. unsatisfiable if it is not satisfied by any interpretation of L

**Examples** Which of the following is *valid*, *satisfiable* or *unsatisfiable*?

•  $\forall x A(x) \rightarrow A(1)$ 

Why?

•  $\exists x A(x) \rightarrow A(1)$ 

Why?

•  $F: \forall x(A(x) \to B(x)) \land A(1) \land \neg B(1)$ 

## Why?

For some interpretation to satisfy F, what must be true?

1.

2.

3.

### **Conclusion?**

This leads to a contradiction, so no interpretation can satisfy  ${\cal F}$ 

•  $F: \forall x \exists y P(x,y)$ 

**Q:** How do we *know* if F is *valid*? perhaps we haven't thought of an *interpretation* that doesn't satisfy F.

**Theorem** Let F and H be formulas of a first-order language, then:

- 1.  $F \Rightarrow H \text{ iff } F \rightarrow H \text{ is valid}$
- 2.  $F \Leftrightarrow H \text{ iff } F \leftrightarrow H \text{ is valid}$

# **Logical Equivalences – Predicate Logic**

For any formulas F and E and variables x and y.

- 1. All the propositional logical equivalences.
- 2. The  $\forall$ ,  $\exists$  version of *DeMorgan's* called the **Quantifier Duality**

$$\neg \forall x F \Leftrightarrow$$
 and  $\neg \exists x F \Leftrightarrow$ 

3. **Rename Quantified Variables** Why might we want to *rename variables*?

Consider, 
$$\forall x (P(x) \lor \exists x Q(x)).$$
  $\Leftrightarrow$ 

## 4. Substitute Equivalent Formulas:

For example,  $((P \land Q) \lor (\neg Q \land \neg P)) \rightarrow R$  is *logically equivalent* to  $(P \leftrightarrow Q) \rightarrow R$ .

5. Factorizing Quantifiers over  $\vee$  and  $\wedge$ : Suppose that x is not free in E, then what can we say about:

$$(E \wedge \forall xF) \Leftrightarrow$$

$$(E \wedge \exists xF) \Leftrightarrow$$

$$(E \vee \forall xF) \Leftrightarrow$$

$$(E \vee \exists xF) \Leftrightarrow$$

This equivalence illustrates how we can factor quantifiers.

### 6. Factorizing Quantifiers over Implications

Assuming x is not free in F, is

$$\forall xE \to F \stackrel{??}{\Leftrightarrow} \exists x(E \to F)$$
?

# Let's prove

$$\forall xE \to F \stackrel{??}{\Leftrightarrow} \exists x(E \to F)$$
?

$$\forall xE \to F \quad \Leftrightarrow \\ \Leftrightarrow \\ \Leftrightarrow \\ \Leftrightarrow \\$$

# Similar proofs show that:

$$\exists xE \to F \iff \forall x(E \to F)$$

$$E \to \forall xF \iff \forall x(E \to F)$$

$$E \to \exists xF \iff \exists x(E \to F)$$

## An Example Prove that

$$(\forall x P(x)) \rightarrow \forall x (Q(x) \rightarrow A(x) \lor B(x))$$

is logically equivalent to

$$\exists x \forall y (\neg P(x) \vee \neg Q(y) \vee A(y) \vee B(y)).$$

Proof.

$$(\forall x P(x)) \to \forall x (Q(x) \to A(x) \lor B(x))$$

 $\Leftrightarrow$ 

 $\Leftrightarrow$ 

 $\Leftrightarrow$ 

 $\Leftrightarrow$ 

What do we notice about this second formula...

### **Prenex Normal Form or PNF**

A formula is in *Prenex Normal Form (PNF)* if all quantifiers *precede* a *quantifier-free* sub-formula.

Q: Is the following formula *valid*?

$$\forall x \exists y (L(x,y)) \leftrightarrow \exists y \forall x (L(x,y))$$

This suggests that formulas in *PNF* are *very sensitive* to the *order* of the *quantifiers*.

Q: Is the following formula *valid*?

$$\forall x \exists y (M(x) \land F(y)) \leftrightarrow \exists y \forall x (M(x) \land F(y))$$

Proof.