

## The Pumping Lemma—Showing $L$ is Not Regular

### Proof Structure.

- Use a proof by *contradiction*.
- By the *Pigeon Hole Principle*, any sufficiently long string in  $L$  must *repeat* some *state* in the FSA, thus creating a *cycle*.
- “**Pumping**” the *cycle* leads to a string *not* in  $L$ .
- **Contradiction.**
- Conclude that  $L$  is *not regular*.

**Q:** What is the *Pigeon Hole Principle*?

If there are  $n$  pigeon holes and  $n + 1$  pigeons, then there exists at least one hole with two pigeons.



## Formal Definition–Pumping Lemma

**The Pumping Lemma.** Let  $L \subseteq \Sigma^*$  be a *regular language*. Then there is some  $p \in \mathbb{N}$  such that every  $s \in L$  that has length  $|s| \geq p$ , can be divided into 3 pieces,  $s = xyz$  such that:

- $|y| > 0$ , i.e.,  $y \neq \epsilon$ ,
- $|xy| \leq p$ ,
- $\forall i \in \mathbb{N}, xy^iz \in L$

**Q:** Which part of  $xyz$  represents the *cycling* part of  $s$ ?  $y$  is the cycling part.

Showing  $L$  is *not* regular by building a *contradiction*:

1. **Assume**  $L$  is regular, so some FSA accepts it.
2. **Let**  $p$  be the number of states of the FSA.
3. **Choose** a string  $s \in L$  such that  $|s| \geq p$
4. **Consider**  $s = xyz$  such that
  - $|xy| \leq p$  and
  - $y \neq \epsilon$
5. **Choose** an  $i$  such that  $xy^iz \notin L$ .

## Example.

Prove that  $L = a^m b^m : m \geq 0$  is not *regular*.

1. **Assume**  $L$  is *regular*, so there exists an *FSA* on  $p$  states that accepts  $L$ .
2. **Choose** a string  $s = a^i b^i$  where  $i > p$ , so  $|s| \geq p$  and in particular, any *prefix* of *length*  $i$  consists entirely of  $a$ 's.
3. **Consider**  $s = a^i b^i = xyz$ .
  - Since  $|xy| \leq i$ ,
  - $xy$  must consist entirely of  $a$ 's.
  - $y$  cannot be empty.
4. **Choose**  $k = 0$ . This has the effect of dropping  $|y|a$ 's out of the string, *without* affecting the number of  $b$ 's.
5. The resultant string has *fewer*  $a$ 's than  $b$ 's, hence does not belong to  $L$ . Therefore  $L$  is not *regular*.